

"NUCLEAR FISSION"
Safety of Existing Nuclear Installations

Contract 605001

List of external hazards to be considered in ASAMPSA_E



Reference ASAMPSA_E
Technical report ASAMPSA_E / WP21 / D21.2 / 2015-10
Reference IRSN PSN-RES/SAG/2015-00085

Kurt Decker & Hans Brinkman

**University Vienna
NRG**

Period covered: from 01/07/2013 to 31/12/2014		Actual submission date: 26/02/2015
Start date of ASAMPSA_E: 01/07/2013		Duration: 36 months
WP No: 21	Lead topical coordinator : Kurt Decker	His organization name : University Vienna

Project co-funded by the European Commission Within the Seventh Framework Programme (2013-2016)		
Dissemination Level		
PU	Public	No
RE	Restricted to a group specified by the partners of the ASAMPSA_E project	Yes
CO	Confidential, only for partners of the ASAMPSA_E project	No

	<p>Advanced Safety Assessment Methodologies: extended PSA</p>	
--	---	--

ASAMPSA 2 Quality Assurance page

Partners responsible of the document :		UNIVIE
Nature of document	Technical Report	
Reference(s)	Technical report ASAMPSA_E/ WP21 / D21.2 / 2015-10	
Title	List of External Hazards to be Considered in ASAMPSA_E	
Author(s)	Kurt Decker & Hans Brinkman	
Delivery date	26/02/2015	
Topical area	Initiating events (internal and external hazards) modeling	
For Journal & Conf. papers	No	

Summary :

The current report includes an exhaustive list of external hazards posing potential threats to nuclear installations. It includes both, natural and man-made external hazards. The list is regarded comprehensive including all types of hazards that were previously cited in documents by IAEA and WENRA-RHWG. 73 natural hazards (N1 to N73) and 24 man-made external hazards (M1 to M24) are included. Natural hazards are grouped into seismotectonic hazards, flooding and hydrological hazards, extreme values of meteorological phenomena, rare meteorological phenomena, biological hazards / infestation, geological hazards, and forest fire. The list of external man-made hazards includes industry accidents, military accidents, transportation accidents, pipeline accidents and other man-made external events.

The dataset further contains information on correlated and associated hazards. 579 correlations between individual hazards are identified shown in a cross-correlation chart. Correlations discriminate between:

(1) Causally connected hazards (cause-effect relation) where one hazard (e.g., liquefaction) may be caused by another hazard (e.g., earthquake); or where one hazard (e.g., high wind) is a prerequisite for a correlated hazard (e.g., storm surge). Causal links are not commutative. (2) Associated hazards (“contemporary” events) which are probable to occur at the same time due to a common root cause (e.g., drought and high temperature).

Visa grid			
	Main author(s) :	Verification	Approval (Coordinator)
Name (s)	K. Decker, H. Brinkman	WP21 Partners	E. Raimond
Date	26-02-2015	By e-mail	26-02-2015
Signature		By e-mail	

MODIFICATIONS OF THE DOCUMENT

Version	Date	Authors	Pages or paragraphs modified	Description or comments
1	Dec. 2014	K. Decker		First version
2	Feb. 2015	E. Raimond		Editorial modification

LIST OF DIFFUSION

European Commission (Scientific Officer)

Name	First name	Organization
Passalacqua	Roberto	EC

ASAMPSA_E Project management group (PMG)

Name	First name	Organization	
Raimond	Emmanuel	IRSN	Project coordinator
Guigueno	Yves	IRSN	WP10 coordinator
Decker	Kurt	Vienna University	WP21 coordinator
Klug	Joakim	LRC	WP22 coordinator
Wielenberg	Andreas	GRS	WP30 coordinator
Loeffler	Horst	GRS	WP40 coordinator

REPRESENTATIVES OF ASAMPSA_E PARTNERS

Name	First name	Organization
Grindon	Liz	AMEC NNC
Mustoe	Julian	AMEC NNC
Cordoliani	Vincent	AREVA
Dirksen	Gerben	AREVA
Godefroy	Florian	AREVA
Kollasko	Heiko	AREVA
Michaud	Laurent	AREVA
Sauvage	Estelle	AREVA

Name	First name	Organization
Hasnaoui	Chiheb	AREXIS
Hurel	François	AREXIS
Schirrer	Raphael	AREXIS
De Gelder	Pieter	Bel V
Gryffroy	Dries	Bel V
Jacques	Véronique	Bel V
Van Rompuy	Thibaut	Bel V
Cazzoli	Errico	CCA

Name	First name	Organization
Vitázková	Jirina	CCA
Passalacqua	Roberto	EC
Banchieri	Yvonnick	EDF
Benzoni	Stéphane	EDF
Bernadara	Pietro	EDF
Bonnevialle	Anne-Marie	EDF
Brac	Pascal	EDF
Coulon	Vincent	EDF
Gallois	Marie	EDF
Hibti	Mohamed	EDF
Jan	Philippe	EDF
Lopez	Julien	EDF
Nonclercq	Philippe	EDF
Panato	Eddy	EDF
Parey	Sylvie	EDF
Romanet	François	EDF
Rychkov	Valentin	EDF
Vasseur	Dominique	EDF
Burgazzi	Luciano	ENEA
Hultqvist	Göran	FKA
Kähäri	Petri	FKA
Karlsson	Anders	FKA
Ljungbjörk	Julia	FKA
Pihl	Joel	FKA
Loeffler	Horst	GRS
Mildenberger	Oliver	GRS
Sperbeck	Silvio	GRS
Tuerschmann	Michael	GRS
Wielenberg	Andreas	GRS
Benitez	Francisco Jose	IEC
Del Barrio	Miguel A.	IEC
Serrano	Cesar	IEC
Apostol	Minodora	INR
Nitoi	Mirela	INR
Groudev	Pavlin	INRNE
Stefanova	Antoaneta	INRNE
Armingaud	François	IRSN
Bardet	Lise	IRSN
Bonnet	Jean-Michel	IRSN

Name	First name	Organization
Bonneville	Hervé	IRSN
Clement	Christophe	IRSN
Corenwinder	François	IRSN
Denis	Jean	IRSN
Duflot	Nicolas	IRSN
Duluc	Claire-Marie	IRSN
Dupuy	Patricia	IRSN
Georgescu	Gabriel	IRSN
Guigueno	Yves	IRSN
Guimier	Laurent	IRSN
Lanore	Jeanne-Marie	IRSN
Laurent	Bruno	IRSN
Ménage	Frédéric	IRSN
Pichereau	Frederique	IRSN
Rahni	Nadia	IRSN
Raimond	Emmanuel	IRSN
Rebour	Vincent	IRSN
Sotti	Oona	IRSN
Volkanovski	Andrija	JSI
Alzbutas	Robertas	LEI
Matuzas	Vaidas	LEI
Rimkevicius	Sigitas	LEI
Häggström	Anna	LRC
Klug	Joakim	LRC
Knochenhauer	Michael	LRC
Kumar	Manorma	LRC
Olsson	Anders	LRC
Borysiewicz	Mieczyslaw	NCBJ
Kowal	Karol	NCBJ
Potempski	Slawomir	NCBJ
La Rovere	Stephano	NIER
Vestrucci	Paolo	NIER
Brinkman	Hans (Johannes L.)	NRG
Kahia	Sinda	NRG
Bareith	Attila	NUBIKI
Lajtha	Gabor	NUBIKI
Siklossy	Tamas	NUBIKI
Caracciolo	Eduardo	RSE
Morandi	Sonia	RSE

Name	First name	Organization
Dybach	Oleksiy	SSTC
Gorpinchenko	Oleg	SSTC
Claus	Etienne	TRACTEBEL
Dejardin	Philippe	TRACTEBEL
Grondal	Corentin	TRACTEBEL
Mitaille	Stanislas	TRACTEBEL
Oury	Laurence	TRACTEBEL
Zeynab	Umidova	TRACTEBEL
Bogdanov	Dimitar	TUS
Ivanov	Ivan	TUS
	Kaleychev	TUS
Holy	Jaroslav	UJV
Hustak	Stanislav	UJV
Jaros	Milan	UJV
Kolar	Ladislav	UJV
Kubicek	Jan	UJV
Decker	Kurt	UNIVIE
Halada	Peter	VUJE
Prochaska	Jan	VUJE
Stojka	Tibor	VUJE

REPRESENTATIVE OF ASSOCIATED PARTNERS (External Experts Advisory Board (EEAB))

Name	First name	Company
Hirata	Kazuta	JANSI
Hashimoto	Kazunori	JANSI
Inagaki	Masakatsu	JANSI
Yamanana	Yasunori	TEPCO
Coyne	Kevin	US-NRC
González	Michelle M.	US-NRC

SUMMARY

The current report includes an exhaustive list of external hazards posing potential threats to nuclear installations. It includes both, natural and man-made external hazards. The list is regarded comprehensive including all types of hazards that were previously cited in documents by IAEA and WENRA-RHWG. 73 natural hazards (N1 to N73) and 24 man-made external hazards (M1 to M24) are included. Natural hazards are grouped into seismotectonic hazards, flooding and hydrological hazards, extreme values of meteorological phenomena, rare meteorological phenomena, biological hazards / infestation, geological hazards and forest fire.

The dataset further contains information on correlated hazards 546 correlations between individual hazards are identified and shown in a cross-correlation chart. Correlations discriminate between:

(1) Causally connected hazards (cause-effect relation) where one hazard (e.g., liquefaction) may be caused by another hazard (e.g., earthquake); or where one hazard (e.g., high wind) is a prerequisite for a correlated hazard (e.g., storm surge). It should be noted that causal links are not commutative. (2) Associated hazards (“contemporary” events) which are probable to occur at the same time due to a common root cause (e.g., drought and high temperature).

ASAMPSA_E PARTNERS

The following table provides the list of the ASAMPSA2 partners involved in the development of this document.

1	Institute for Radiological Protection and Nuclear Safety	IRSN	France
2	Gesellschaft für Anlagen- und Reaktorsicherheit mbH	GRS	Germany
3	AMEC NNC Limited	AMEC NNC	United Kingdom
4	Ricerca sul Sistema Energetico	RSE S.p.A.	Italy
5	Lloyd's Register Consulting	LRC	Sweden
6	Nuclear Research Institute Rez pl	UJV	Czech
7	Universität Wien	UNIVIE	Austria
8	Cazzoli Consulting	CCA	Switzerland
9	Italian National Agency for New Technologies, Energy and the Sustainable Economic Development	ENEA	Italy
10	Nuclear Research and consultancy Group	NRG	Nederland
11	IBERDROLA Ingeniería y Construcción S.A.U	IEC	Spain
12	Electricité de France	EDF	France
13	Lietuvos energetikos institutas (Lithuanian Energy Institute)	LEI	Lithuania
14	NUBIKI	NUBIKI	Hungary
15	Forsmark kraftgrupp AB	FKA	Sweden
16	AREVA NP SAS France	AREVA NP SAS	France
17	NCBJ Institute	NCBJ	Poland
18	State Scientific and Technical Center for Nuclear and Radiation Safety	SSTC	Ukraine
19	VUJE	VUJE	Slovakia
20	NIER Ingegneria	NIER	Italy
21	VGB PowerTech e. V	VGB	Germany
22	TRACTEBEL ENGINEERING S.A.	TRACTEBEL	Belgium
23	BeL V	BeL V	Belgium
24	Institut Jozef Stefan	JSI	Slovenia
25	Institute of nuclear research and nuclear energy - Bulgarian Academia of science	INRNE	Bulgaria
26	Regia Autonoma Pentru Activitati Nucleare Droberta Tr. Severin RA Suc	INR	Roumania
27	Technical University of Sofia - Research and Development Sector	TUS	Bulgaria
28	AREXIS S.A.R.L.	AREXIS	France
	United States Nuclear Regulatory Commission	US-NRC	USA
	Tokyo Electric Power Company	TEPCO	Japan
	Japan Nuclear Safety Institute	JANSI	Japan

CONTENT

MODIFICATIONS OF THE DOCUMENT.....	3
LIST OF DIFFUSION	3
Summary	7
ASAMPSA_E Partners	8
Content	9
Glossary	10
1 Introduction	11
1.1 Objective	11
1.2 Definitions	12
1.3 Format of the hazard list	13
1.4 Format of the hazard correlation chart	14
2 List of external hazard types.....	15
2.1 Natural hazards	15
2.2 Extended explanations of uncommon natural phenomena	24
2.3 External man-made hazards.....	27
2.4 References to international standards introducing external hazard types	32
3 Event combinations and hazard correlations.....	33
3.1 Causally connected hazards	33
3.2 Associated hazards	34
3.3 Hazardous combinations of independent phenomena	34
3.4 Discussion of identified correlations	35
4 List of references	38
5 list of Tables.....	39
6 List of Figures	39
7 Appendix : Hazard correlation chart	40
7.1 Legend to the correlation chart	40
7.2 Table : Hazard correlation chart	41

GLOSSARY

IAEA	International Atomic Energy Agency
I&C	Instrumentation & Control
NPP	Nuclear Power Plant
PSA	Probabilistic Safety Assessment
SSCs	Systems, Structures and Components
UHS	Ultimate Heat Sink
WP	Work Package within ASAMPSA_E

1 INTRODUCTION

1.1 OBJECTIVE

The objective of this technical report is to provide an exhaustive list of external hazards which form potential threats to nuclear installations. It considers both, natural and external man-made hazards. The hazard list should be used as a starting point for the selection of hazards to be considered as initiating events in an extended PSA taking into account the site specifics.

The document further intends to provide information on hazardous events which have a significant probability to occur at the same time. Such correlated hazards may derive from causal dependencies between different hazard types or from hazards that share a common root cause. We therefore developed an extensive correlation chart that indicates such causal dependencies.

It is clear that not all of the hazards summarized in the exhaustive list and all possible hazard correlations uniformly apply to all nuclear sites. Site-specific screening of hazards is a necessary step in PSA. The definition of screening criteria to be used for the selection of external hazards and combinations of external hazards, however, is beyond the scope of the current report. The screening approach and the criteria to select initiating events are discussed in deliverable D30.3 of the ASAMPSA_E work package WP30.

The hazard list was used as a basis to select a limited number of hazard types for detailed discussion with specialists of the informed scientific community outside ASAMPSA_E and the development of guidance for hazard characterization by ASAMPSA_E (deliverable D21.3). The following hazards have been selected: seismic hazards, flooding, extreme weather (storm, extreme temperature, snow pack), lightning, biological hazards, external fire, external explosion, and aircraft crash. Earthquake and flooding have been selected as a consequence of the Fukushima Dai-Ichi accident. The other hazard types were selected according to the needs of end-users after an in-depth discussion at the End-User Workshop of ASAMPSA_E in Upsala in May, 2014. The strategic reasoning for selecting these specific hazards is explained in Deliverable D10.2 of ASAMPSA_E's work package WP10.

1.2 DEFINITIONS

The definitions adopted in the current report are summarized in Table 1.

Table 1 Definition of key terms used in the current document

Term	Definition	Reference
External hazards	Hazards originating from sources located outside the <i>site</i> of the nuclear power plant.	IAEA SSG-3 (2010)
Internal hazards (*)	Hazards originating from the sources located on the <i>site</i> of the nuclear power plant, both inside and outside plant buildings.	IAEA SSG-3 (2010)
Site area	A geographical area that contains an authorized facility, authorized activity or source, and within which the management of the authorized facility or authorized activity may directly initiate emergency actions. This is typically the area within the security perimeter fence or other designated property marker.	IAEA Safety Glossary (2007)
Natural hazards	Natural hazards are defined as those hazards which occur in nature over which man has little or no control over the magnitude or frequency.	WENRA RHWG (2014)
Man-made hazards (**)	Hazards originating from any kind of human activity, either accidental or due to malicious acts.	
Initiating event	An identified event that leads to anticipated operational occurrences or accident conditions. This term is used in relation to event reporting and analysis, i.e. when such events have occurred. For the consideration of hypothetical events considered at the design stage, the term postulated initiating event is used.	IAEA Safety Glossary (2007)
Postulated initiating event	An event identified during design as capable of leading to anticipated operational occurrences or accident conditions. The primary causes of postulated initiating events may be human induced or natural events.	IAEA Safety Glossary (2007)

(*) Some guidance documents refer to a different interpretation of “on-site hazards”: e.g., ASME-ANS (2009) lists “internal flooding”, “release of chemicals from on-site storage”, and “turbine missiles” among the external hazards.

(**) Malicious acts are not considered in the current document.

1.3 FORMAT OF THE HAZARD LIST

During the exhaustive discussion within WP21 several formats and approaches to structure the list of external hazards have been proposed. The current report adopts the format of the hazard list published in the IAEA Safety Standard SSG-3, Annex I (IAEA, 2010). This format is expanded to include additional information.

The current document hazards are listed in a table format with columns referring to:

- Code (hazard number)
- Hazard : natural phenomena and man-made accidents causing the hazard
- References : international standards that introduced the hazard type
- Duration (Dur.) : classification of hazard duration. Duration is classified into seconds to minutes (s-m), minutes to hours (m-h), hours to days (h-d), and longer (d-l)
- Predictability and hazard progression (P&P) : predictable (e.g., by weather forecast) or unpredictable (U) / progressing rapidly (R) or gradually (G)
- Hazard definition and hazard impact
- Interfaces and comments : extended explanations of some uncommon natural phenomena are provided in chapter 2.2 subsequent to the table

Information on initiating events (i.e., the potential damage caused by a hazard and its impact on the plant, SSCs or humans) are not included in the hazard list. Their identification is in general plant specific and part of the initiating event identification process of a PSA and therefore beyond the scope of the current report.

Previous external hazard lists adopted a wide variety of structures including simple alphabetic hazard lists (ASME/ANS, 2009, p. 267ff) and different thematic classifications of hazards (e.g., air based, ground based, water based natural hazards: IAEA, 2010). An exhaustive literature review revealed that classification schemes even differ between IAEA documents. The classification selected in the tables in the current report tries to adopt the logic followed in the majority of IAEA's publications. Natural hazards are therefore grossly sorted according to the general processes causing the hazards resulting in a classification into seismotectonic, hydrological, meteorological, biological, and geological hazards.

External man-made hazards are grouped into industry, military, transportation, pipeline, aircraft and other accidents.

External man-made hazards which are security related (malicious acts, terrorist or military attack) are not included in the list.

1.4 FORMAT OF THE HAZARD CORRELATION CHART

Correlated hazards are shown in a cross correlation chart in chapter 2.4 of the report. The large number of individual natural and man-made hazards (73 and 24 hazard types, respectively) result in a large size of the chart with about 100 rows and columns. The full table is therefore included as an attachment to the report. It is also available in PDF-file format which is accessible through ASAMPSA_E's FPT server at <ftp.irsn.fr>.

2 LIST OF EXTERNAL HAZARD TYPES

2.1 NATURAL HAZARDS

The exhaustive list of natural hazards is included in Table 2 (next pages).

Hazards are grouped into:

- Seismotectonic hazards (earthquake)
- Flooding and hydrological hazards
- Meteorological events: extreme values of meteorological phenomena
- Meteorological events: rare meteorological phenomena
- Biological hazards / Infestation
- Geological hazards
- Forest fire

Table 2. Exhaustive list of natural hazards (73 hazard types). Explanation to columns: Dur.: duration of hazard phenomena classified as s-m (seconds to minutes), m-h (minutes to hours), h-d (hours to days), d-l (days and longer). P&P: Hazard predictability and hazard progression: predictable (P), unpredictable (U), progressing rapidly (R) or gradually (G). Ref: references to international standards introducing the hazard type.

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N1	Vibratory ground motion (including long period ground motion)	[1] [2] [4] [10] [11] [14]	s-m	U/R	The hazard is defined by the contemporaneous impact of vibratory ground motion on all civil structures and SSCs of the plant and its surrounding.	Effects of aftershocks need to be considered.
N2	Vibratory ground motion induced or triggered by human activity (oil, gas or groundwater extraction, quarrying, mine collapse)		s-m	U/R	The hazard is defined by the contemporaneous impact of vibratory ground motion on all civil structures and SSCs of the plant and its surrounding.	See explanation [N2].
N3	Surface faulting (fault capability)	[3] [4] [11]	s-m	U/R	The hazard is defined in terms of impact on the plant of coseismic fault rupture and surface displacement. It includes surface rupture at secondary faults.	See explanation [N3].
N4	Liquefaction, lateral spreading	[1] [3] [11]	s-m	U/R	The hazard is defined by the loss of shear strength of foundation soil and its effects on civil structures and underground installations such as pipes or cable trays.	See explanation [N4].
N5	Dynamic compaction (seismically induced soil settlement)	[1] [4]	s-m	U/R	The hazard is defined by the effects of soil settlement on civil structures and underground installations such as pipes or cable trays. It includes effects of seismically induced surface cracks.	
N6	Permanent ground displacement subsequent to earthquake	[4]	d-l	U/R	The hazard is defined in terms of impact on the plant of permanent ground subsidence or ground heave due to strain release after an earthquake.	See explanation [N6]. Ground settlement (N63) and ground heave (N64) due to other geological processes is treated separately.

Flooding and hydrological hazards [1] [2] [7]

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N7	Tsunami (seismic, volcanic, submarine landsliding, meteorite impact)	[1] [2] [7] [12] [14]	m-h	U/R	The hazard is defined by flooding by a series of water waves and the drawdown during the wave troughs.	See explanation [N7]. Earthquake (N1), landslide (N60, N61), and volcanic hazards (N68, N69) are treated separately.
N8	Flash flood: flooding due to local extreme rainfall	[1] [3] [6] [7] [12] [14]	m-h	U/R	The hazard is defined in terms of damage to the plant due to flooding by extreme rain.	See explanation [N8]. Damage due to rain load on structures is treated separately (N25). Note links to other meteorological phenomena.

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N9	Floods resulting from snow melt	[3] [5] [6] [7] [14]	d-l	P/G	The hazard is defined by flooding caused by seasonal or rapid snow melt.	Rapid snow melt due to volcanic phenomena is treated separately (N68).
N10	Flooding due to off-site precipitation with waters routed to the site (including river floods)	[5] [7] [12] [14]	d-l	P/G	The hazard is defined in terms of damage to the plant due to flooding by waters routed to the site.	
N11	High groundwater	[1] [12]	d-l	P/G	The hazard is defined in terms of damage to the plant due to flooding by high ground water.	
N12	Flooding due to obstruction of a river channel (downstream or upstream) by landslide, ice, jams caused by logs or debris, or volcanic activity	[5] [7] [14]	d-l	U/G	The hazard is defined by flooding due to downstream river impoundment or by the breach of upstream river damming.	
N13	Floods resulting from changes in a river channel due to erosion or sedimentation, river diversion	[3] [5] [7] [14]	d-l	U/G	The hazard is defined by flooding due to changes of a river channel.	Instability of the coastal area due to erosion is treated separately (N23).
N14	Flood resulting from large waves in inland waters induced by volcanoes, landslides, avalanches or aircraft crash in water basins	[5] [7]	m-h	U/R	The hazard is defined by flooding due to large waves in inland waters.	Flooding by wind induced waves is treated separately (N19).
N15	Flood and waves caused by failure of water control structures and watercourse containment failure (dam, dike, or levee failure)	[1] [3] [7] [12]	m-h	U/R	The hazard is defined by flooding due to the failure of dams, dikes, or other water containments, e.g., due to hydrological or seismic effects.	
N16	Seiche	[1] [2] [3] [7] [14] [15]	h-d	P/G	The hazard is defined by flooding due to fluctuations of water level due to standing waves in enclosed or partly enclosed bodies of water.	See explanation [N16]. The effect of seiches may aggravate other hazard phenomena such as tsunami or tides.
N17	Bore	[5] [12]	s-m	U/R	The hazard is defined by flooding due to bore (waves travelling up a river induced by flood tide or water management).	See explanation [N17].
N18	Seawater level: high tide, spring tide	[1] [3] [6] [12] [14]	m-h	P/G	The hazard is defined by flooding due to high tide or spring tide.	
N19	Seawater level, lake level or river: wind generated waves	[1] [3] [6] [7] [12]	h-d	P/G	The hazard is defined by flooding due to wind generated waves including long-period, short-period, and rogue waves (freak waves).	See explanation [19] for rough waves. Such waves are not predictable and progress rapidly.
N20	Seawater level: storm surge	[1] [3] [6] [7] [12] [14] [15]	h-d	P/G	The hazard is defined by flooding due to storm surge.	See explanation [N20].

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N21	Seawater level, lake level or river: impact of man-made structures such as wave/tide breaks and jetties	[6] [12]	h-d	P/G	The hazard is defined by flooding caused or amplified by the hydrological effects of man-made structures.	
N22	Corrosion from salt water	[10]	d-I	P/G	The hazard is defined in terms of impact on the plant of corrosion by salt water.	
N23	Instability of the coastal area due to erosion by strong water currents or sedimentation (sea and river)	[3] [10] [12]	d-I	U/G	The hazard is defined in terms of damage to plant structures due to erosion or sedimentation by strong water currents.	
N24	Underwater debris	[7]	h-d	U/R	The hazard is defined in terms of the damage or clogging of cooling water intake or outlet affecting the availability of the UHS. It may result from sediment load swept in by water.	The effects of ice on water intake structures is treated separately (N48).

Meteorological events: Extreme values of meteorological phenomena [3] [6] [7] [12]

Code	Hazard	Ref.	Dur.	P&P	Hazard definition	Interfaces and comments
N25	Precipitation (rain or snow), snow pack	[6] [10] [12]	h-d	P/G	The hazard is defined in terms of damage to the plant due to extreme rain or snow. It includes damage due to rain or snow load on structures.	Flooding by extreme rain (N8) or snow melt (N9) is treated separately.
N26	Extremes of air temperature (high and low)	[1] [2] [6] [7] [10] [12]	d-I	P/G	The hazard is defined in terms of impact on the plant of extremely high temperatures (e.g., the stop of ventilation function) and low temperatures (e.g., freezing of pipes).	Impact of high or low water temperature (N28) or ice is treated separately.
N27	Extremes of ground temperature (high and low)	[1]	d-I	P/G	The hazard is defined in terms of impact on the plant of high or low ground temperature, e.g., leading to freezing of pipes.	The impact of extreme soil frost is treated separately (N38).
N28	Extremes of cooling water (sea, lake or river) temperature (high and low)	[1] [10] [12]	d-I	P/G	The hazard is defined in terms of impact on the plant of high or low cooling water temperature.	Freezing (surface ice; N48) and frazil ice (N49) are treated separately.
N29	Humidity (high and low), extreme atmospheric moisture	[1] [7] [12]	h-d	P/G	The hazard is defined by the impact of moisture on the functionality of safety related equipment and electronic devices (I&C equipment), e.g., by condensation of droplets in electrical and electronic devices.	See explanation [N29].
N30	Extremes of air pressure	[1] [10]	h-d	P/G	The hazard is defined in terms of impact on the plant of high or low air pressure or of rapid pressure changes that may impact on pressure gauges (e.g., within the containment) leading to inadvertent operation.	

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N31	Extreme drought: low river or lake water level	[1] [10]	d-I	P/G	The hazard is defined as an extended drought period that lowers the water level of lakes, rivers and open water basins challenging the availability of cooling or service water.	High air temperature (N26) and high water temperature (N28) are treated separately. Extremes of ground water level are treated separately (N32)
N32	Low ground water		d-I	P/G	The hazard is defined by low ground water levels challenging the availability of cooling or service water.	
N33	Low seawater level	[1] [6] [13]	h-d	P/G	The hazard is defined by the impact of low sea water level on the plant's cooling function.	The hazard includes effects of low tide, offshore winds, high air pressure, and abnormal changes in currents.
N34	Icing, freezing fog	[1] [12]	h-d	P/R	The hazard is defined in terms of the impact of ice cover caused by freezing rain or fog. It includes the loading of structures (electric power lines and switchyard) and blocking of air intakes by ice.	See explanation [N34].
N35	White frost, hard rime, soft rime	[10]	h-d	P/R	The hazard is defined in terms of impact of white frost including switchyards and power lines, and blocking of air intakes by rime.	See explanation [N35].
N36	Hail	[1] [10] [12]	m-h	P/R	The hazard is defined in terms of damage to the plant due to extreme hail. It includes damage by the impact of hailstones and hail load.	Flooding due to melting of hail are bounded by flooding due to rain and snow melt (N8, N9). Possible effects on the UHS are judged to be bounded by surface ice hazards (N48).
N37	Permafrost	[1] [11]	d-I	P/G	The hazard is defined in terms of impact of thawing and refreezing of permafrost.	
N38	Recurring soil frost	[10]	d-I	P/G	The hazard is defined in terms of impact of soil frost, e.g., on shallow underground installations such as water pipes.	

Meteorological events: Rare meteorological phenomena [3] [6] [12]					P&P	Hazard definition and hazard impact	Interfaces and comments
Code	Hazard	Ref.	Dur.				
N39	Lightning (including electromagnetic interference)	[1] [6] [8] [10] [12] [14]	s-m		P/R	The hazard is defined in terms of damage to the plant due to lightning. The impact may be direct, causing structural damage or loss of off-site power, or indirect through an electromagnetic feeder fire started by lightning.	Fire started by lightning is bounded by external fires (N73, M 24) and internal fire analysis.
N40	High wind, storm (including hurricane, tropical cyclone, typhoon)	[1] [2] [6] [10] [12] [14]	h-d		P/G	The hazard is defined in terms of damage to the plant by the direct impact of strong winds and wind pressure.	The hazard does not include tornado (N41) due to the unique characteristics of such storms. The hazard does not include the differentiating effects of blizzard, salt spray or sandstorm. However, the wind effects of these hazards are included. Flooding by storm surge is treated separately (N20). Hazards by wind-blown missiles is treated separately (N46).
N41	Tornado	[1] [2] [10] [12] [14]	m-h		U/R	The hazard is defined in terms of damage to the plant due to tornado. It includes the effects of pressure differences and rotating wind.	The hazard is separated from other strong winds (N40) due to the special characteristics of tornados with respect to duration, wind speed, and occurrence frequency. Damage due to wind-blown missiles is treated separately (N46).
N42	Waterspout (tornadoic waterspout)	[1] [14]	m-h		U/R	The hazard is defined in terms of the rotational energy. Waterspouts contain water vapour, not solid water.	See explanation [N42].
N43	Blizzard, snowstorm	[2]	h-d		P/G	The hazard is defined by the impact on the plant by wind-blown snow. It includes contamination of external high-voltage insulation in switch gear and power lines, and blocking of air intakes.	The effects of wind pressure from snowstorms are covered by the hazard high wind (N40). Snow load is treated separately (N25).
N44	Sandstorm, dust storm	[1] [7] [10] [12] [14]	h-d		P/G	The hazard is defined in terms of impact on the plant of storm-borne sand or dust and its abrasive effects. It includes contamination of external high-voltage insulation in switch gear and power lines and blocking of air intake.	The effects of wind pressure from sandstorms are covered by the hazard high wind (N40).
N45	Salt spray, salt storm	[1] [7] [10] [13]	h-d		P/G	The hazard is defined as a storm involving salt covering of plant structures and the corrosive attack by a salty atmosphere. It includes contamination of external high-voltage insulation in switch gear and power lines, and dielectric breakdown caused by salt particles.	The effects of wind pressure from salt storms are covered by the hazard high wind (N40).

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N46	Wind-blown debris (external missiles)	[12]	h-d	U/R	The hazard is defined by the damage of the impacts of wind-blown debris resulting from high winds and tornado.	Typical missiles to include are cladding panels, both insulated and uninsulated aluminium, scaffolding planks, scaffolding poles, trees, and cars.
N47	Snow avalanche	[1] [10] [14]	s-m	U/R	The hazard is defined in terms of impact on the plant of avalanches.	Avalanches may be triggered by heavy snow fall or snowmelt.
N48	Surface ice on river, lake or sea	[10]	d-l	P/G	The hazard is defined in terms of the damage or clogging of cooling water intake or outlet by drift ice or thick surface ice affecting the availability of the UHS.	Frazil ice (N49) and ice barriers (N50) are treated separately.
N49	Frazil ice	[10]	d-l	P/R	The hazard is defined in terms of the impact of frazil ice on the cooling water intake or river damming.	See explanation [N49].
N50	Ice barriers	[10]	d-l	U/R	The hazard is defined in terms of impact on the plant of ice barriers, e.g., by clogging the water intake.	Flooding due to down-stream ice barriers is treated separately (N12).
N51	Mist, fog	[1] [10]	h-d	P/R	The hazard is defined in terms of impact on the plant, electric power lines, and switchyard of mist. It includes reduced visibility on site.	
N52	Solar flares, solar storms (space weather); geomagnetic storms	[1] [8]	h-d	P/R	The hazard is defined in terms of malfunction and damage to electrical and electronic equipment by electromagnetic interference and the breakdown of the terrestrial power grid.	See explanation [N52].

Biological hazards / Infestation [1] [7]

Code	Hazard	Ref.	Dur.	P&P	Hazard definition	Interfaces and comments
N53	Marine/river/lake growth (seaweed, algae), biological fouling	[1] [7]	d-l	P/G	The hazard is defined by excessive growth of algae, seaweed, bacteria or else affecting the availability of cooling water from the UHS.	
N54	Crustacean or mollusc growth (shrimps, clams, mussels, shells)	[1]	d-l	P/G	The hazard is defined in terms of clogging of water intake or outlet by encrusting organisms effecting on the availability of cooling water from the UHS.	
N55	Fish, jellyfish	[1] [7] [10]	h-d	U/R	The hazard is defined by the unavailability of the UHS due to clogging of water intake by exceptional quantities of fish/jellyfish or abnormal fish population in the cooling pond.	Clogging by seaweed (N53) and biological flotsam (N58) is treated separately.

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N56	Airborne swarms (insects, birds) or leaves	[1] [7]	h-d	U/R	The hazard is defined in terms of damage to the plant due to blockage of air intake by birds or blockage of ventilation systems by leaves or insects in the filters. It includes blocking of the air intake of emergency diesels	
N57	Infestation by rodents and other animals	[1] [7]	d-I	U/R	The hazard is defined by damage of cables or wires attacked by rodents (rats, mice), and by undermining of structures by burrowing mammals.	
N58	Biological flotsam (wood, foliage, grass etc.)		d-I	U/R	The hazard is defined in terms of the damage or clogging of cooling water intake or outlet affecting the availability of the UHS by the accumulation of large quantities of flotsam.	
N59	Microbiological corrosion		d-I	P/G	The hazard is defined in terms of damage to the plant by microbiological corrosion.	
Geological hazards [1] [11]						
Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N60	Subaerial slope instability (landslide, rock fall; including meteorologically and seismically triggered events)	[3] [10] [11] [14]	s-m	U/R	The hazard is defined in terms of impact on the plant of landslide or rockfall including possible clogging of cooling water intake or outlet affecting the availability of the UHS.	The effects of mass movements causing flooding due to the blockage of streams (N12) or by inducing tsunamis in the sea or lakes (N7) are treated separately.
N61	Underwater landslide, gravity flow (including seismically triggered events)	[10]	s-m	U/R	The hazard is defined in terms of impact on the plant of underwater landslide.	Underwater landslides may be due to above water causes, such as prolonged and intense precipitation. Underwater erosion (N23) and tsunami triggered by landslide (N7) is treated separately.
N62	Debris flow, mud flow (including seismically triggered events)	[11]	s-m	U/R	The hazard is defined in terms of impact on the plant of debris flows or mud flows. Effects may include clogging of cooling water intake or outlet structures.	Lahare hazard is treated in volcanic hazards (N68).
N63	Ground settlement (natural or man-made by mining, ground water extraction, oil/gas production)	[1] [3] [11]	d-I	P/G	The hazard is defined in terms of impact on the plant of ground settlement.	
N64	Ground heave	[1] [10] [11]	d-I	U/G	The hazard is defined in terms of impact on the plant of ground heave.	

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N65	Karst, leeching of soluble rocks (limestone, gypsum, anhydrite, halite)	[1] [10] [11]	d-l	P/G	The hazard is defined in terms of impact to the plant of fissures, sinkholes, underground streams, and caverns due to chemical erosion.	
N66	Sinkholes (collapse of natural caverns and man-made cavities)	[1] [3] [11]	d-l	U/R	The hazard is defined in terms of impact on the plant of sinkholes resulting from underground collapse.	
N67	Unstable soils (quick clays etc.)	[1]	s-m	U/R	The hazard is defined in terms of impact on the plant of unstable soils.	
N68	Volcanic hazards: phenomena occurring near the volcanic centre	[1] [7] [9] [11] [14]	d-l	U/R	The hazard is defined in terms of impact on the plant of: volcanic vent opening; launching of ballistic projectiles; fallout of pyroclastic material such as ash, tephra, lapilli or pumice; pyroclastic flows; lava flows; debris avalanches, landslides and slope failures; lahars, maars and floods induced by snow melt; air shocks and lightning; release of gases (including 'glowing avalanches'); ground deformation; geothermal and groundwater anomalies; forest fire ignited by volcanic activity.	The large variety of volcanic phenomena necessitates separate treatment of these phenomena. Earthquakes (N1) and tsunamis triggered by volcanic activity (N7) are treated separately.
N69	Volcanic hazards: effects extending to areas remote from the volcanic centre	[1] [7] [9]	d-l	U/G	The hazard is defined in terms of impact on the plant of phenomena such as fallout of ash.	Earthquakes (N1) and tsunamis (N7) triggered by volcanic activity are treated separately.
N70	Methane seep		d-l	P/G	The hazard is defined in terms of impact on the plant of methane seeping from soils or rocks.	
N71	Natural radiation		d-l	P/G	The hazard is defined in terms of impact on the plant of natural radiation.	
N72	Meteorite fall (includes other effects than seismic)	[1] [10] [13]	s-m	U/R	The hazard is defined in terms of damage to the plant due to meteorite impact (direct impact, shock waves, impact-induced vibration, and fire).	Flooding by tsunami triggered by meteorite fall is treated separately (N7).

Forest fire

Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
N73	Forest fire, wildfire, burning turf or peat	[7] [10]	d-l	U/R	The hazard is defined in terms of damage to plant or the loss of off-site power due to fire or threatened operator action owing to the release of smoke and toxic gases. It includes hazard due to sparks igniting other fires and combustion gas of fire.	The hazard is a possible effect of extreme meteorological conditions (high temperatures, drought or storms). Fire caused by human activity is treated separately (M24).

2.2 EXTENDED EXPLANATIONS OF UNCOMMON NATURAL PHENOMENA

[N2] Vibratory ground motion induced or triggered by human activity. Seismic ground motion caused by human activity is treated together with natural seismicity due to the identical effects of both phenomena and the difficulties which may arise to discriminate between man-made and natural events. The hazard type includes induced seismicity, which is entirely controlled by human intervention, and triggered seismicity. In the latter case human intervention causes the initiation of the seismic rupture process of a fault while the subsequent rupture propagation is controlled by natural stress. A triggered earthquake is advanced by human intervention and natural stress aggravates the ground shaking.

[N3] Fault capability. The displacement of the Earth's surface at a fault during an earthquake is referred to as fault capability. Coseismic displacement may occur at the master fault or splay faults which fractured during the earthquake, or by induced slip at secondary faults which are not directly related to the earthquake fault.

[N4] Liquefaction, lateral spreading. Liquefaction of soil and unconsolidated fine-grained sediment is caused by ground shaking during an earthquake. The process results from the expulsion of pore water and leads to an extreme reduction of shear strength of the soil. In such cases, soil behaves more like a liquid than a solid and is unable to carry loads. Lateral spreading refers to the down-slope flow of liquefied soil. Both phenomena may lead to base failure at the foundation of buildings and the destruction of underground infrastructure (e.g., cables, pipes and pillars).

[N6] Permanent ground displacement subsequent to earthquake. Strain release after strong earthquakes may lead to permanent ground displacement of a large area that is caused by the release of elastic deformation (strain) during the earthquake. Elastic strain accumulates in the interseismic time period between earthquakes. Well-known examples of permanent ground displacement include cases of regional costal uplift above subduction zones and thrust faults. The type of ground displacement is distinct from the displacement caused by fault capability which is restricted to the earthquake fault or secondary faults.

[N7] Tsunami. A tsunami is a series of waves (wave train) in an ocean or lake that is caused by the displacement of a large volume of a body of water by earthquake, underwater landsliding, landsliding into water, volcanic eruption, or meteorite impact. Tsunamis travel very large distances. The phenomenon that triggered the wave train may therefore have occurred far from the site where the waves arrive.

[N8] Flash flood. "Extreme flood events induced by severe stationary storms have been considered as flash floods. Most generally, the storms inducing flash floods lead to local rainfall accumulations exceeding 100 mm over a few hours and affect limited areas: some tens to some hundreds of square kilometres. Larger scale and longer lasting stationary storm events may, however, occur in some meteorological contexts (Gaume et al., 2009)."

[N16] Seiche. Seiches are standing waves that form in enclosed or semi-enclosed water basins due to the reflection of waves at the basin edges. Repeated wave reflections and interference of waves lead to the formation of standing waves. The superposition of waves with frequencies equal to the eigenfrequency of the basin (or multiples of this frequency) lead to resonances in the body of water and amplitude amplification. Wave initiation may be due to meteorological effects (wind, atmospheric pressure variations), seismic activity, or tsunamis.

[N17] Bore. “A tidal bore is a series of waves propagating upstream as the tidal flow turns to rising. It forms during spring tide conditions when the tidal range exceeds 4 to 6m and the flood tide is confined to a narrow funnelled estuary. Its existence is based upon a fragile hydrodynamic balance between the tidal amplitude, the freshwater river flow conditions and the river channel bathymetry (Chanson, 2011).” Tidal bores are characterized by strong turbulence that may lead to sediment erosion beneath the bore wave and on banks. Turbulence may further lead to scouring and sediment entrainment, and impact on obstacles (Chanson, 2011).

[N19] Rough waves (freak wave). “Freak waves are extraordinarily large water waves whose heights exceed by a factor of 2.2 the significant wave height of a measured wave train (Onorato et al., 2001).” The significant wave height is defined as the mean of the largest third of waves in a wave record. Rough waves often occur as single and steep wave crests that may cause severe damage to offshore/onshore structures and ships. The formation of such waves results, among other factors, from the presence of strong currents or from a simple chance superposition of different waves with coherent phases (Onorato et al., 2001).

[N20] Storm surge. Storm surge is a coastal flood phenomenon that can result from several different types of storms such as tropical cyclones, extratropical cyclones, squall lines (a line of thunderstorms ahead of a cold front), and hybrid storms in low-pressure weather systems. Flood levels are a function of the depth of the water body, the orientation of the shoreline, the wind direction, the storm path, and tides. “The two main meteorological factors contributing to a storm surge are a long fetch of winds spiraling inward toward the storm (i.e., the length of water over which wind has blown), and a low-pressure-induced dome of water drawn up under and trailing the storm’s center. The second effect is responsible for destructive meteotsunamis associated with the most intense tropical systems (http://en.wikipedia.org/wiki/Storm_surge).”

[N29] Humidity. Extremes of humidity have an impact on the cooling capacity of nuclear power plants that utilize evaporation based designs for the ultimate heat sink (e.g. mechanical draught cooling towers). Together with other parameters such as wind, precipitation, temperature, and air pressure extremes of humidity may combine to meteorological conditions representing (a) maximum evaporation potential (leading to maximum cooling water consumption) and (b) minimum water cooling (e.g. cooling capacity of the cooling tower) (IAEA, 2011 [12]).

[N34] Icing. The term refers to clear ice that precipitates from rain or fog and covers cold objects in a sheet-like mass of layered ice. Such ice covers have a higher density than ice crystals formed by frost or rime (N35) and therefore a higher potential to damage objects by loading.

[N35] White frost, hoar frost, hard rime, soft rime. The hazard type summarizes the effects of several types of ice coatings that form in humid and cold air and produce ice crystals in a greater variety of forms. Crystals freeze to the upwind side of solid objects. Rime refers to ice deposits forming from water droplets in freezing fog or mist at calm or light wind. Supercooled water drops are involved in the formation of rime. Meteorological literature distinguishes hard rime, which has a comb-like appearance and firmly adheres to objects, from soft rime, which consists of fragile and delicate ice needles. In contrast to rime, where vapour first condensates to droplets before freezing, white frost and hoar frost forms by desublimation of ice directly from water vapour. Both types of frost do not form from fog but from air of different degrees of relative humidity at low temperatures. Frost and rime is less dense than solid ice and adheres to objects less tenaciously. Their damage potential is therefore less than that of clear ice covering objects (N34, Icing).

[N42] Waterspout. A waterspout (tornado occurring over water) is a small and weak rotating column of air over water. It consists of a columnar vortex which is upwards connected to a funnel-shaped cloud. The phenomenon is mostly weaker than tornadoes on land. Most of the water contained in the funnel of a waterspout is formed by the condensation of droplets, not by sucking up water from the underlying water body. Stronger waterspouts may originate in mesocyclone thunderstorms.

[N49] Frazil ice. “Frazil ice is a collection of loose, randomly oriented needle-shaped ice crystals in water. It resembles slush and has the appearance of being slightly oily when seen on the surface of water (http://en.wikipedia.org/wiki/Frazil_ice).“ Frazil ice forms in turbulent, supercooled water (rivers, lakes and oceans) when and air temperature reaches -6°C or lower. At high speeds of water currents the small ice crystals are not buoyant and may be carried into deeper water instead of floating at the surface. Continuing crystal growth may result in underwater ice adhering to objects in the water such as trash racks protecting water intake structures. This process may proceed very fast and lead to total blockage of trash bars (Daly, 1991).

[N52] Solar flares, solar storms (space weather); electromagnetic interference. A solar flare is a sudden release of extremely large energy of the Sun caused by electromagnetic phenomena within the Sun. Flares may lead to the ejection of plasma (coronal mass ejection) and particle storms (solar storms) with clouds of electrons, ions, and atoms moving through the corona of the sun into space. Such clouds may reach the Earth within hours or few days after the solar event. Massive solar flares with coronal mass ejections have a strong impact on the space weather near the Earth. They cause temporary disturbances of the Earth’s magnetosphere and magnetic field causing geomagnetic storms. The latter may lead to severe disturbances of electrical systems including the disruption of communication by absorption or reflection of radio signals, and the damage of terrestrial electric power grids by moving magnetic fields that induce currents in conductors of the power grid. These currents may particularly damage transformers. Geomagnetic storms may therefore cause long-lasting breakdowns of the electrical power grid.

2.3 EXTERNAL MAN-MADE HAZARDS

The exhaustive list of external man-made hazards is included in Table 3 (next pages).

Hazards are grouped into:

- Industry accidents
- Military accidents
- Transportation accidents
- Pipeline accidents
- Other man-made external events

Table 3. Exhaustive list of external man-made hazards (24 hazard types). Explanation to columns:
Dur.: duration of hazard phenomena classified as s-m (seconds to minutes), m-h (minutes to hours), h-d (hours to days), d-l (days and longer). P&P: Hazard predictability and hazard progression: predictable (P), unpredictable (U), progressing rapidly (R) or gradually (G). Ref: references to international standards introducing the hazard type.

Industry accidents						
Code	Hazard	Ref.	Dur.	P&P	Hazard definition and hazard impact	Interfaces and comments
M1	Industry accident: explosion	[7] [8] [14]	s-m	U/R	The hazard is defined in terms of damage to the plant resulting from explosions (deflagration or detonation) of solid substances, liquids or gases that leads to damage to the plant, loss of off-site power or threatened operator action. The damage may be due to pressure impact or impact of missiles.	This hazard is most relevant for chemical or fuel storage facilities (oil refinery, chemical plant, storage depot, other nuclear facilities). Explosions in connection with transportation (M11) and pipeline accidents (M13) are treated separately. Fire due to industrial accident is treated separately (M24).
M2	Industry accident: chemical release (explosive, flammable, asphyxiant, toxic, corrosive or radioactive substances)	[10] [14]	h-d	U/R	The hazard is defined by the impact of releases from industrial plants that lead to damage to the plant or threatened operator action owing to the release of explosive, flammable, asphyxiant, toxic, corrosive or radioactive substances.	This hazard is most relevant for chemical or fuel storage facilities (oil refinery, chemical plant, storage depot, other nuclear facilities). Hazards resulting from transportation accidents (/M12) or pipeline accidents (M14) are treated separately.
M3	Missiles from high energy rotating equipment	[8] [10]	s-m	U/R	The hazard is defined in terms of the impact of missiles from high energy rotating equipment.	
Military accidents [8]						
M4	Military facilities (permanent and temporary): explosion, projectiles, missiles and fire	[8]	s-m	U/R	The hazard is defined by the impact accidents in military facilities such as explosion, projectile generation (shrapnel), or missiles.	Chemical releases from military facilities are treated separately (M5). Fire from military facilities is treated with the fire hazard due to human/technological activity (M24).
M5	Military facilities (permanent and temporary): chemical release (explosive, flammable, asphyxiant, toxic, corrosive or radioactive substances)	[8]	h-d	U/R	The hazard is defined by the impact of releases from military facilities that lead to damage to the plant or threatened operator action owing to the release of explosive, flammable, asphyxiant, toxic, corrosive or radioactive substances.	
M6	Military activities		d-l	P/G	The hazard is defined in terms of damage to plant resulting from military activity.	Explosion and fire induced by military action should be considered as a minimum.

Transportation accidents					
M7	Ship accident: direct impact	[8] [10] [14]	s-m	U/R	The hazard is defined in terms of the direct impact of a ship.
M8	Collisions with water intake and ultimate heat sink components (ship, pontoon, fishing net)	[7] [8] [14]	m-h	U/R	The hazard is defined in terms of damage or clogging of water intakes and UHS structures by collision with ships, pontoons, fishing nets, etc.
M9	Ship accident: solid or fluid (non-gaseous) releases	[7] [8]	d-l	U/R	The hazard is defined in terms of damage or clogging of water intakes and UHS structures by impurities released into the water from a ship, such as oil spills or corrosive fluids, which could affect the availability or quality of cooling water, and its heat exchange capacity.
M10	Ground transportation accident: direct impact	[8]	s-m	U/R	The hazard is defined in terms of the direct impact of railway trains and wagons, road vehicles outside the site.
M11	Transportation accident: explosion	[8] [10] [14]	s-m	U/R	The hazard is defined in terms of damage to the plant resulting from explosion after ground transportation accidents or due to sea, lake or river transportation accidents. Damage may be due to pressure impact or impact from missiles.
M12	Transportation accident: chemical release (explosive, flammable, asphyxiant, toxic, corrosive or radioactive substances)	[7] [8] [10] [14]	h-d	U/R	The hazard is defined by the effects of chemical releases after ground transportation accidents or due to sea, lake or river transportation accidents that affect the plant both externally and internally, damaging or impairing safety related systems and operator action. Releases may originate from transportation accidents, spills or leakages of transported substances.
					Collisions with water intake structures and components of the UHS are treated separately (M8). The hazard does not cover consequences of releases in connection with a ship accident (explosion, pollution, intake clogging or release of toxic gases). These hazards are treated separately (M9, M11). The hazard does not cover consequences of releases in connection with a ship accident (explosion, pollution, intake clogging or release of toxic gases). These hazards are treated separately (M9, M11). The hazard does not cover consequences of releases in connection with transport accidents (explosion, pollution, intake clogging or release of toxic gases). These hazards are treated separately (M11, M12). Consequence of other hazards (different prime cause). Hazards due to aircraft crash (M15, M16) or pipeline accident (M13) are treated separately. Toxic effects from a chemical release are treated separately (M12).

Pipeline accidents [8] [10]						
M13	Off-site pipeline accident: explosion, fire	[8] [10]	s-m	U/R	The hazard is defined in terms of damage to the plant resulting from explosions (deflagration or detonation) after a pipeline accident (including pumping stations) outside the site. The damage may be due to pressure impact or impact of missiles.	Effects from chemical release are treated separately (M14).
M14	Off-site pipeline accident: chemical release	[8] [10]	h-d	U/R	The hazard is defined by the effects of chemical releases after pipeline accidents (including pumping stations) that affect the plant both externally and internally, damaging or impairing safety related systems and operator action.	Explosion effects from pipeline accidents are treated separately (M13).
Aircraft accidents [7]						
M15	Aircraft crash: airport zone	[7] [8] [14]	s-m	U/R	The hazard is defined in terms of damage to the plant by abnormal flights leading to crashes. Damage can be caused by direct impact, explosion, missiles, fire (kerosene), smoke (toxic), and induced vibration.	The hazard depends on flight frequencies, runway characteristics, and types and characteristics of aircrafts. The aircraft may be commercial, private or military.
M16	Aircraft crash: airtraffic corridors and flight zones (military and civil)	[7] [8] [14]	s-m	U/R	The hazard is defined in terms of damage to the plant by abnormal flights leading to crashes. Damage can be caused by direct impact, explosion, missiles, fire (kerosene), smoke (toxic), and induced vibration.	The hazard depends on flight frequencies, characteristics of air traffic corridors, and types and characteristics of aircrafts. The aircraft may be commercial, private or military.
M17	Satellite crash	[7]	s-m	U/R	The hazard is defined in terms of damage to the plant resulting from satellite impact. Damage can be caused by direct impact, induced vibration, or shock wave.	

Other man-made external hazards

M18	Excavation and construction work	[10] [13]	h-d	P/R	The hazard is defined in terms of impact on the plant of excavation construction work outside the site area including destructive work on cabling and piping buried underground which may lead to the breach of underground supplies or the release of explosive, flammable, asphyxiant, toxic or corrosive substances.	
M19	Stability of the off-site power grid		h-d	U/R	The hazard is defined by the impact of disturbances coming from manipulation on the grid and switchyards from outside the site. It includes external grid disturbance leading to voltage surges.	
M20	Industrial contamination of insulation of high voltage in outdoor switchgear and power lines		h-d	U/R	The hazard is defined by the impact of the insulation of high voltage in outdoor switchgear by industrial contaminants such as dust or chemical releases.	
M21	Electromagnetic interference, radiofrequency interference or disturbance from off-site sources	[7] [8] [10] [14]	m-h	U/R	The hazard is defined in terms of impact on the plant of human-induced magnetic or electrical fields, and radio magnetic disturbance that could cause malfunction in or damage to safety related equipment or instrumentation.	The main examples of such fields are those attributable to radar, radio, and mobile telephone systems, or to the activation of high-voltage electric switchgears.
M22	High-voltage eddy current into ground (off-site sources)	[8]	m-h	U/R	The hazard is defined by corrosion of underground metal ground components and grounding problems.	
M23	Flooding: malfunction or miss-management of watertgate or dam	[10]	h-d	U/R	The hazard is defined in terms of damage to the plant by high level water and water waves caused by human-induced damage, malfunction or miss-management of water control structures.	The hazard may be enveloped by flood hazard caused by failure of water control structures (dam failure) caused by natural events (N15).
M24	Fire as result to human/technological activity	[10] [13]	h-d	U/R	The hazard is defined in terms of damage to the plant or loss of off-site power resulting from human-induced forest, wildland or grassland fire, or fire in urban area. It includes hazard due to sparks igniting other fires, smoke and combustion gas of fire.	Fire may result from industrial accident or free time activities.

2.4 REFERENCES TO INTERNATIONAL STANDARDS INTRODUCING EXTERNAL HAZARD TYPES

- [1] WENRA Reactor Harmonization Working Group, 2012. Safety of New NPP Designs. Draft No. 9, October 2012.
- [2] IAEA, 2004. Design of Reactor Containment Systems for Nuclear Power Plants. Safety Guide No. NS-G-1.10, Vienna.
- [3] IAEA, 2003. Site Evaluation for Nuclear Installations. Safety Requirements No. NS-R-3, Vienna.
- [4] IAEA, 2010. Seismic Hazards in Site Evaluation for Nuclear Installations. Specific Safety Guide No. SSG-9, Vienna.
- [5] IAEA, 2003. Flood Hazard for Nuclear Power Plants on Coastal and River Sites. Safety Guide No. NS-G-3.5, Vienna.
- [6] IAEA, 2003. Meteorological Events in Site Evaluation for Nuclear Power Plants. Safety Guide No. NS-G-3.4, Vienna.
- [7] IAEA, 2003. External Events Excluding Earthquakes in the Design of Nuclear Power Plants. Safety Guide No. NS-G-1.5, Vienna.
- [8] IAEA, 2002. External Human Induced Events in Site Evaluation for Nuclear Power Plants. Safety Guide No. NS-G-3.1, Vienna.
- [9] IAEA, 2012. Volcanic Hazards in Site Evaluation for Nuclear Installations. Specific Safety Guide No. SSG-21, Vienna.
- [10] IAEA, 2010. Development and Application of Level 1 Probabilistic Safety Assessment for Nuclear Power Plants. Safety Guide No. SSG-3, Vienna.
- [11] IAEA, 2004. Geotechnical Aspects of Site Evaluation and Foundations for Nuclear Power Plants, Safety Guide No. NS-G-3-6, Vienna.
- [12] IAEA, 2011. Meteorological and Hydrological Hazards in Site Evaluation for Nuclear Installations. Specific Safety Guide No. SSG-18, Vienna.
- [13] Kuramoto, T., et al., 2014. Development of Implementation Standard Concerning the Risk Evaluation Methodology Selection for the External Hazards. PSAM 12 - Probabilistic Safety Assessment and Management, 22-27 June 2014, Sheraton Waikiki, Honolulu, Hawaii, USA.
- [14] IAEA, 2003. Extreme External Events in the Design and Assessment of Nuclear Power Plants. Tecdoc 1341, Vienna.

3 EVENT COMBINATIONS AND HAZARD CORRELATIONS

The analysis of event combinations uses a correlation chart that lists all natural and external man-made hazards (73 and 24 hazard types, respectively). Among the natural hazards extremes of air temperature, ground temperature, cooling water temperature, and humidity are split to list extreme highs and lows separately. This results in a correlation chart with 101 rows and 101 columns representing 10.100 possible hazard combinations. Out of these possible combinations 579 event combinations and hazard correlations were identified by expert opinion. Correlations discriminate between: (1) Causally connected hazards (cause-effect relation) where one hazard may cause another hazard; or where one hazard is a prerequisite for a correlated hazard. (2) Associated hazards which are probable to occur at the same time due to a common root cause.

3.1 CAUSALLY CONNECTED HAZARDS

This type of connection between hazards refers to a cause-effect relation, where hazard A triggers or may trigger hazard B (“causes-effects relation”, NIER, 2013; “common cause event”, Kuramoto et al., 2014). The causal connection is not commutative. The hazard correlation chart discerns to types of causal connections:

1. A may cause B

The relation indicates that A is not a prerequisite to B meaning that A and B can exist by themselves.

Examples :

Vibratory ground motion - tsunami : A strong offshore earthquake (including remote ones) may cause a tsunami ; a tsunami, however, may also result from other events (landsliding, volcanic activity etc.)

Industry explosion - wildfire : Industry accidents may under certain conditions result in wildfire but they are not the exclusive reason for wildfire

2. A is a prerequisite for B (no B without A).

The relation includes « A may cause B » meaning that A may occur without leading to B. The relation therefore does not indicate that B is an inevitable consequence of A.

Examples :

Vibratory ground motion - liquefaction : Soil liquefaction does not occur without earthquake shaking. The hazard must therefore be considered together with other effects of vibratory ground motion. However, not each earthquake will lead to soil liquefaction.

Low temperature - surface ice : Surface ice on water requires deep temperature. Surface ice must therefore be considered together with other possible effects of low temperature.

The probabilities for the causal connection (1) may vary from any value <0 to 1. The probability for connection (2) is 1. The causal connections (1) and (2) are not commutative. Cases where both causal relations (A may trigger B and B may trigger A) are plotted on different half spaces of the chart.

Causal connections of type (1) are usually restricted by further requirements. In the listed example, liquefaction will only occur under certain conditions such as the presence of liquefiable soil, a minimum ground acceleration, and a minimum duration of the earthquake. Surface ice will be caused by appropriate combinations of low temperatures and sufficiently long periods of freezing. The limiting parameters (earthquake magnitude, duration; temperature, duration of low temperature conditions) can usually be constrained by parameters derived from hazard assessment.

The correlation chart only lists the direct consequences of a certain hazards, causal chains are not considered.

Example : a possible consequence of mismanagement of dam is flooding; further possible consequences of flooding such as biological flotsam clogging the water intake are not listed as a consequence of mismanagement of dam. Clogging by biological flotsam, however, is listed as a possible consequence of flooding.

3.2 ASSOCIATED HAZARDS

Associated hazards refer to events which are probable to occur at the same time due to a common root cause (“contemporary relation”, NIER, 2013). The common root cause (e.g., a meteorological situation) may not necessarily be regarded as a hazard by itself. Examples for associated hazards are:

1. Cold front of a meteorological low pressure area: drop of air pressure, high wind, lightning (thunder storm), precipitation (heavy rain, hail)
2. High-temperature summer period : high air and ground temperature, high cooling water temperature, low ground water, drought

Associated hazards are identified in the correlation chart.

3.3 HAZARDOUS COMBINATIONS OF INDEPENDENT PHENOMENA

The combinations of independent phenomena which, in combination, cause potential hazards are not specified in the current version of the correlation chart. Examples of such combinations are : flooding caused by the combination of high tide and storm surge ; slope instability due to a combination of precipitation and vibratory ground motion.

3.4 DISCUSSION OF IDENTIFIED CORRELATIONS

The close inspection of the hazard cross correlation chart reveals remarkable differences between the individual hazards in terms of the number of cross correlations with other hazards (Fig. 1 to 4). The cited figures distinguish « isolated » hazards, which do not correlate with any or only very few other hazards (e.g., biological infestation) from hazards, which are correlated or associated with a large number of other phenomena.

Examples for the latter are vibratory ground motion (correlated with 30 other hazard types), industry explosion (40 correlated hazards), and stability of the power grid (40 correlations). External man-made hazards are generally characterized by relatively large numbers of cross-correlated phenomena. This is due to the fact that many natural hazards can impact the entire site vicinity and all man-made activities in the surrounding of a NPP in the same way as the NPP itself. This is particularly evident for seismotectonic, hydrological, and meteorological phenomena. A correlation between the man-made hazards and natural hazards may therefore be regarded not very informative. It should, however, be noted that some man-made structures or activities may not be vulnerable to a specific natural hazard which otherwise may have a strong impact on the safety of a nuclear power plant.

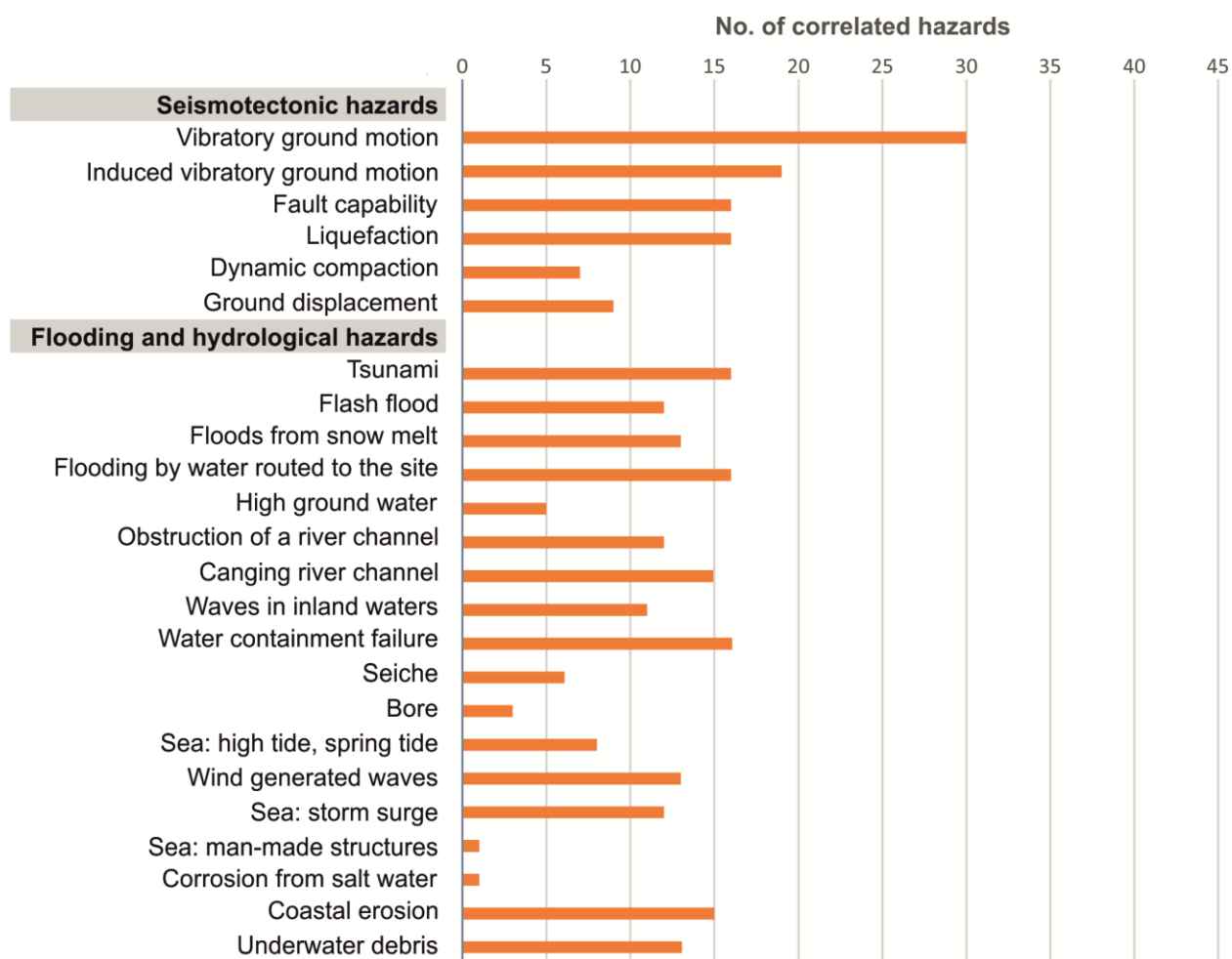


Fig. 1 Number of hazards correlated with seismotectonic and flooding hazards.

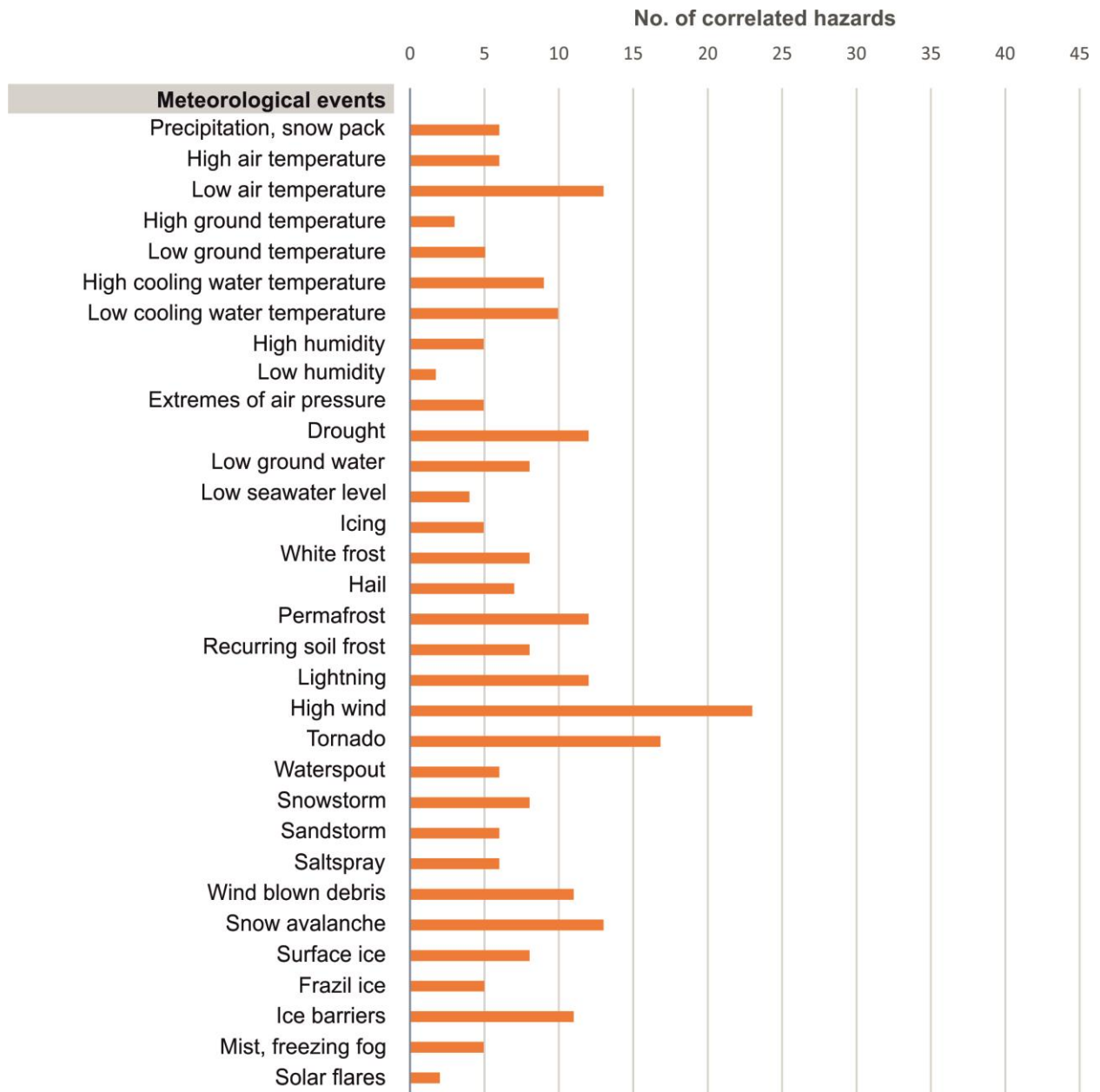


Fig. 2 Number of hazards correlated with meteorological events.

Fig. 3 Number of hazards correlated with biological hazards, geological hazards and forest fire.

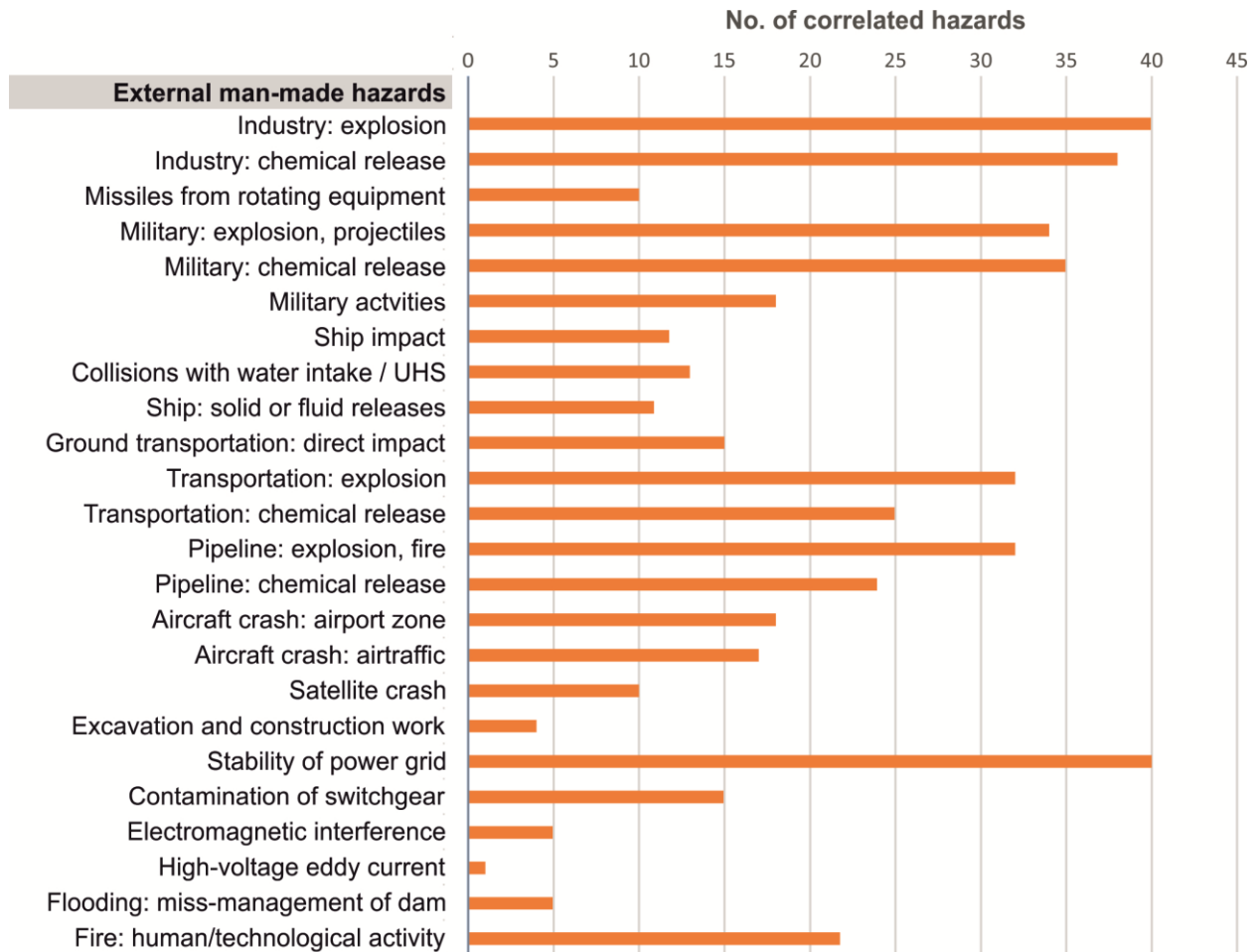


Fig. 4 Number of hazards correlated with external man-made hazards.

4 LIST OF REFERENCES

ASME/ANS, 2009. *Addenda to ASME/ANS RA-S-2008 Standard for Level 1/Large Early Release Frequency Probabilistic Risk Assessment for Nuclear Power Plant Applications*, 342pp.

Chanson, H. (2011). *Tidal Bores, Aegir, Eagre, Mascaret, Pororoca. Theory and Observations*. World Scientific, Singapore. ISBN 978-981-4335-41-6.

Daly, S.F., 1991. *Frazil Ice Blockage of Intake Trash Racks*. Cold Region Technical Digest, No. 91-1, 12 pp.

Gaume, E., Bain, V., Bernardara, P., et al., 2009. *A compilation of data on European flash floods*. Journal of Hydrology 367, 70-78.

IAEA, 2007. *Terminology Used in Nuclear Safety and Radioation Protection*. IAEA Safety Glossary 2007 Edition, Vienna.

IAEA, 2010. *Development and Application of Level 1 Probabilistic Safety Assessment for Nuclear Power Plants*. Safety Guide No. SSG-3, Vienna.

NIER Ingegneria, 2013. *Hazards and threats ontology*. Unpublished Report, Project reference number: HOME/2011/CIPS/AG/4000002102, 6 pp.

Kuramoto, T., Yamaguchi, A., Narumiya, Y., Ota, T., Mamizuka, Y., 2014. *Development of Implementation Standard Concerning the Risk Evaluation Methodology Selection for the External Hazards*, PSAM 12 - Probabilistic Safety Assessment and Management, 22-27 June 2014, Sheraton Waikiki, Honolulu, Hawaii, USA, 12 pp.

Onorato, M., Osborne, A.R., Serio, M., Damiani, T., 2001. *Occurrence of Freak Waves from Envelope Equations in Random Ocean Wave Simulations*. Proceedings Rogue Waves 2000.

WENRA-RHWG, 2014. *WENRA Safety Reference Levels for Existing Reactors. Update in Relation to Lessons Learned from TEPCO Fukushima Dai-Ichi Accident*.
http://www.wenra.org/media/filer_public/2014/09/19/wenra_safety_reference_level_for_existing_reactors_september_2014.pdf

5 LIST OF TABLES

Table 1. Definition of key terms used in the current document	12
Table 2. Exhaustive list of natural hazards (73 hazard types). Explanation to columns: Dur.: duration of hazard phenomena classified as s-m (seconds to minutes), m-h (minutes to hours), h-d (hours to days), d-l (days and longer). P&P: Hazard predictability and hazard progression: predictable (P), unpredictable (U), progressing rapidly (R) or gradually (G). Ref: references to international standards introducing the hazard type.	15
Table 3. Exhaustive list of external man-made hazards (24 hazard types). Explanation to columns: Dur.: duration of hazard phenomena classified as s-m (seconds to minutes), m-h (minutes to hours), h-d (hours to days), d-l (days and longer). P&P: Hazard predictability and hazard progression: predictable (P), unpredictable (U), progressing rapidly (R) or gradually (G). Ref: references to international standards introducing the hazard type.	27

6 LIST OF FIGURES

Fig. 1 Number of hazards correlated with seismotectonic and flooding hazards.....	35
Fig. 2 Number of hazards correlated with meteorological events.....	36
Fig. 3 Number of hazards correlated with biological hazards, geological hazards and forest fire.....	36
Fig. 4 Number of hazards correlated with external man-made hazards.....	37
Fig. 5 Legend to the hazard correlation chart (Appendix 7.2).....	40

7 APPENDIX : HAZARD CORRELATION CHART

7.1 LEGEND TO THE CORRELATION CHART

<table border="1"><tr><td></td><td>B</td></tr><tr><td>A</td><td>↗</td></tr></table>		B	A	↗	A is prerequisite for B
	B				
A	↗				
<table border="1"><tr><td></td><td>B</td></tr><tr><td>A</td><td>↘</td></tr></table>		B	A	↘	B is prerequisite for A
	B				
A	↘				
<table border="1"><tr><td></td><td>B</td></tr><tr><td>A</td><td>↗</td></tr></table>		B	A	↗	A may cause B
	B				
A	↗				
<table border="1"><tr><td></td><td>B</td></tr><tr><td>A</td><td>↘</td></tr></table>		B	A	↘	B may cause A
	B				
A	↘				
<table border="1"><tr><td></td><td>B</td></tr><tr><td>A</td><td></td></tr></table>		B	A		Associated hazards: A and B derive from common root cause
	B				
A					

Note:

Only direct consequences of individual hazards are listed. Causal chains are not considered.

Combinations of independent phenomena with low severity which cause potential hazards by their contemporaneous occurrence are not identified.

Fig. 5 Legend to the hazard correlation chart (Appendix 7.2)

7.2 TABLE : HAZARD CORRELATION CHART

The hazard correlation chart is included in Table 4 (next page).

Table 4. Cross-correlation chart showing causally connected hazards (A may cause B, A is a prerequisite for B) and associated hazards (A and B may result from the same root cause). See Fig. 5 for explanation of symbology.

